

AN EQUIVALENT INCLUSION METHOD FOR A THREE-DIMENSIONAL  
LENS-SHAPED CRACK IN ANISOTROPIC MEDIA

T. Mura and P. C. Cheng\*

## INTRODUCTION

Micro cracks in materials sometimes take a three-dimensional lens-shape. In this paper crack opening displacements, crack extension forces, stress concentration factors for  $a_3 \neq 0$  and stress intensity factors for  $a_3 = 0$  are developed through the use of the equivalent inclusion method for an isolated three-dimensional lens-shaped crack under simple tension and pure shear, where  $a_3$  is the smallest principal axis of the ellipsoid.

## CRACK OPENING DISPLACEMENT

A three-dimensional lens-shaped crack is given by Figure 1 or by

$$\Omega: x_1^2/a_1^2 + x_2^2/a_2^2 + x_3^2/a_3^2 \leq 1 \quad (1)$$

where  $a_3$  is smaller in comparison to  $a_1, a_2$ . The elastic constants of domain  $\Omega$  are zero. An applied stress ( $\sigma_{ij}^0$  at infinity) becomes  $\sigma_{ij}^0 + \sigma_{ij}$  in the neighbourhood of  $\Omega$ . The stress disturbance  $\sigma_{ij}$  is equivalent to the stress caused by eigenstrains  $\varepsilon_{ij}^*$  (phase transformation strains) defined in  $\Omega$ , assuming the elastic constants of  $\Omega$  to be the same as those of the matrix (denoted by  $C_{ijkl}^0$ ).  $\varepsilon_{ij}^*$  are determined from

$$\sigma_{ij}^0 + \sigma_{ij} = 0, \quad \sigma_{ij} = C_{ijkl}^0 (u_{k,l} - \varepsilon_{kl}^*) \quad \text{in } \Omega, \quad (2)$$

where  $u_i$  is the displacement field due to  $\varepsilon_{ij}^*$ . We found by Green's function technique [1] that when  $\varepsilon_{ij}^*$  are constant and  $a_3 \ll a_1, a_2$ ,

$$u_{i,k} \approx (1/4\pi) C_{jlmn}^0 \varepsilon_{mn}^* \left[ 4\pi G_{ijkl}(0,0,1) - a_3 \Pi_{ijkl} \right] \quad (3)$$

where

$$G_{ijkl}(\bar{\xi}_1, \bar{\xi}_2, \bar{\xi}_3) = N_{ij}(\bar{\xi}) \bar{\xi}_k \bar{\xi}_l / D(\bar{\xi})$$

$$\Pi_{ijkl} = \int_{S^2} \frac{a_1 a_2}{(a_1^2 \cos^2 \theta + a_2^2 \sin^2 \theta)^{3/2}} \frac{\bar{\xi}_3}{(1 - \bar{\xi}_3^2)^{1/2}} \frac{\partial}{\partial \bar{\xi}_3} G_{ijkl}(\bar{\xi}) dS(\bar{\xi}) \quad (4)$$

$$\bar{\xi}_1 = (1 - \bar{\xi}_3^2)^{1/2} \cos \theta, \quad \bar{\xi}_2 = (1 - \bar{\xi}_3^2)^{1/2} \sin \theta, \quad dS(\bar{\xi}) = d\theta d\bar{\xi}_3.$$

\*Materials Research Centre, Northwestern University, Ill., U.S.A.

$N_{ij}(\bar{\xi})$ ,  $D(\bar{\xi})$  are the cofactor and the determinant of matrix  $(C_{ijpq}^0 \bar{\xi}_p \bar{\xi}_q)$  respectively and  $S^2$  is the unit sphere  $\bar{\xi}_i \bar{\xi}_i = 1$ . Equation (3) is linear with respect to  $a_3$ .

When  $\sigma_{ij}^0 = \sigma_{33}^0$  (simple tension) and the crystalline directions are parallel to the principal axes direction of  $\Omega$ , equation (2) gives a non-zero component of  $\varepsilon_{ij}^*$  as

$$a_3 \varepsilon_{33}^* = 4\pi\sigma_{33}^0 / C_{33mn}^0 C_{pq33}^0 \Pi_{mpnq} \quad (5)$$

When  $\sigma_{ij}^0 = \sigma_{31}^0$  (pure shear) and the crystalline directions are parallel to the principal axes directions of  $\Omega$ , a non-zero component of  $\varepsilon_{ij}^*$  is obtained as

$$a_3 \varepsilon_{31}^* = 2\pi\sigma_{31}^0 / C_{31mn}^0 C_{pq31}^0 \Pi_{mpnq}. \quad (6)$$

From the dislocation theory [2], the crack opening displacements  $u_3$  for (5) and  $u_1$  for (6) (displacements in  $\Omega$ ) are given by  $\varepsilon_{ij}^* h$ , where  $h$  is the half thickness of  $\Omega$  in the  $x_3$  direction:

$$h = a_3(1 - x_1^2/a_1^2 - x_2^2/a_2^2)^{1/2}. \quad (7)$$

Since the right hand sides in (5) and (6) do not contain  $a_3$ ,  $\varepsilon_{ij}^* \rightarrow \infty$  for  $a_3 \rightarrow 0$ .

#### CRACK EXTENSION FORCES

The interaction energy between  $\sigma_{ij}^0$  and the crack is given by

$$\Delta U = -\frac{2}{3} \pi a_1 a_2 a_3 \varepsilon_{ij}^* \sigma_{ij}^0. \quad (8)$$

When the crack is expanding in the  $x_2$  direction, keeping  $a_1$ ,  $a_3$  constant, the crack extension force  $G$  is given by  $G = -\partial(\Delta U)/\partial a_2$ . For the simple tension it becomes

$$G = 8\pi^2 a_1 (\sigma_{33}^0)^2 (f/g) / C_{33mn}^0 C_{pq33}^0 \Pi_{mpnq} \quad (9)$$

where

$$f = C_{33ik}^0 C_{jl33}^0 \int_{S^2} \frac{\bar{\xi}_3}{(1-\bar{\xi}_3^2)^{1/2}} \left\{ \frac{\partial}{\partial \bar{\xi}_3} G_{ijkl}(\bar{\xi}) \right\} \frac{a_2^2 \sin^2 \theta ds(\bar{\xi})}{(a_1^2 \cos^2 \theta + a_2^2 \sin^2 \theta)^{5/2}} \quad (10)$$

$$g = C_{33ik}^0 C_{jl33}^0 \int_{S^2} \frac{\bar{\xi}_3}{(1-\bar{\xi}_3^2)^{1/2}} \left\{ \frac{\partial}{\partial \bar{\xi}_3} G_{ijkl}(\bar{\xi}) \right\} \frac{ds(\bar{\xi})}{(a_1^2 \cos^2 \theta + a_2^2 \sin^2 \theta)^{3/2}}$$

and  $f/g \rightarrow 1$  for  $a_1 \rightarrow \infty$ .

A similar calculation can be done for the pure shear case.

The above result can be applied to a flat ellipsoidal crack ( $a_3 \rightarrow 0$ ) since (9) does not contain  $a_3$ . For the slit-like crack ( $a_1 \rightarrow \infty$ ),  $G$  agrees with that given by Barnett and Asaro [3].

#### STRESS CONCENTRATION FACTORS

The stress concentration factor is defined by

$$\kappa = \left( \sigma_{ij}^0 + \sigma_{ij}(\text{out}) \right) / \sigma_{ij}^0 \quad (11)$$

where  $\sigma_{ij}^0 + \sigma_{ij}(\text{out})$  is defined immediately outside the crack.  $\sigma_{ij}(\text{out})$  can be determined by  $\sigma_{ij}$  in  $\Omega$  (denoted by  $\sigma_{ij}(\text{in})$ ). The stress jump on  $\Omega$ ,  $[\sigma_{ij}] \equiv \sigma_{ij}(\text{out}) - \sigma_{ij}(\text{in})$ , can be written as (see [4] - [7]),

$$[\sigma_{ij}] = C_{ijkl}^0 \left\{ -C_{pqmn}^0 \varepsilon_{mn}^* G_{kpql}(\bar{n}) + \varepsilon_{kl}^* \right\} \quad (12)$$

where  $\bar{n}$  is the outward normal vector of the boundary of  $\Omega$ . Since  $\sigma_{ij}^0 + \tilde{\sigma}_{ij}(\text{in}) = 0$ , (11) is written as

$$\kappa = [\sigma_{ij}] / \sigma_{ij}^0 \quad (13)$$

where  $\varepsilon_{ij}^*$  are given by (5) and (6) for the two types of applied stress and are inversely proportional to  $a_3$ .  $\kappa$  at  $\beta = \pi/2$  (see Figure 1) is shown in Figures 2 and 3 with respect to  $a_1/a_2$  for various crystals.  $a_3$  is expressed in terms of  $\rho_n$  from geometry,

$$a_3 = (\rho_n a_1 a_2)^{1/2} (a_2^2 \cos^2 \beta + a_1^2 \sin^2 \beta)^{-1/4} \quad (14)$$

where  $\rho_n$  is the root radius of the curve which is an intersection of  $\Omega$  and the plane containing  $\bar{n}$  and the line parallel to the  $x_3$  axis (see Figure 1). The values of  $\kappa / (a_2/\rho_n)^{1/2}$  converge to constant values which agree with the values expected from Lekhnitiskii [8] for simple tension in the plane strain case.

#### STRESS INTENSITY FACTORS

The stress intensity factor is defined when  $a_3 \rightarrow 0$  by

$$K = \lim_{\rho \rightarrow 0} \sqrt{2\rho} \left( \sigma_{ij}^0 + \sigma_{ij} \right) \quad (15)$$

where  $\rho$  is the distance from the boundary of the crack.

When  $a_1$  is fixed and  $a_2$  is changed by  $\delta a_2$  (small variation), the work done by the tension on the new crack surface  $\delta A$  is

$$2(K/\sqrt{2\rho}) \varepsilon_{33}^* h \delta A = 2K \varepsilon_{33}^* a_3 (\bar{x}_1^2/a_1^4 + \bar{x}_2^2/a_2^4)^{1/4} \pi a_1 \delta a_2 \quad (16)$$

where  $\bar{x}_1^2/a_1^2 + \bar{x}_2^2/a_2^2 = 1$  and  $(1 - x_1^2/a_1^2 - x_2^2/a_2^2)^{1/2}$  is approximated as  $\sqrt{2\rho(\bar{x}_1^2/a_1^4 + \bar{x}_2^2/a_2^4)^{1/4}}$ . Equation (16) must be equal to  $G\delta a_2$  which can be written from (5) as

$$G\delta a_2 = 2\pi a_1 \sigma_{33}^0 a_3 \epsilon_{33}^* (f/g) \delta a_2. \quad (17)$$

Comparing (16) and (17), we have

$$K = \sigma_{33}^0 (\bar{x}_1^2/a_1^4 + \bar{x}_2^2/a_2^4)^{-1/4} (f/g) \quad (18)$$

For the slit-like crack ( $a_1 \rightarrow \infty$ ),  $f/g = 1$  and therefore  $K$  is independent of the elastic constants. The value of  $K$  agrees with Barnett and Asaro [3] for the slit-like crack. Similar discussion can be done for the pure shear case.

CONCLUSION

We have shown that the equivalent inclusion method can provide the crack opening displacements, crack extension forces, the stress concentration factor when  $a_3 \neq 0$ , and the stress intensity factor when  $a_3 = 0$ . It is also found that some difficulties are involved in deriving the stress intensity factors from the stress concentration factors through a limiting process. The two concepts of stress intensity and concentration factors appear to belong to separate categories.

In this paper we considered uniform applied stress fields at infinity. However, a similar calculation can be done for a linearly changing applied stress at infinity. Similarly the present method can be applied to any orientation of crystals and any anisotropic material. Comparison with other results about the stress intensity factors obtained by Kassir and Sih [9] and Willis [10] will be possible only numerically and will be reported in this conference.

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APPENDIX

For isotropic materials

$$C_{33mn}^0 C_{pq33}^0 \Pi_{mpnq} = \frac{1}{a_2} \frac{4\pi\mu}{1-\nu} E(k)$$

$$C_{31mn}^0 C_{pq31}^0 \Pi_{mpnq} = \frac{1}{a_2} 4\pi\mu \left\{ \frac{1}{1-\nu} \frac{k'^2}{k^2} (F(k) - E(k)) + \frac{k'^2}{k^2} \left( \frac{E(k)}{k'^2} - F(k) \right) \right\}$$

where  $\mu$ ,  $\nu$  are the shear modulus and Poisson's ratio, respectively, and  $k^2 = 1 - a_2^2/a_1^2$ ,  $a_1 > a_2$ ,  $k'^2 = 1 - k^2$ .  $F(k)$ ,  $E(k)$  are the complete elliptic integrals of the first and second kinds respectively.

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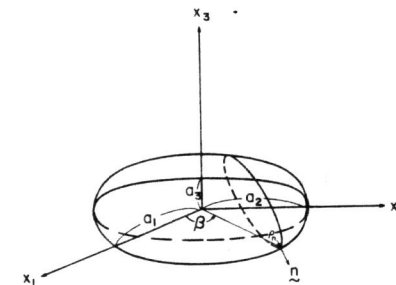


Figure 1 Configuration of a Flat Ellipsoid or a Crack

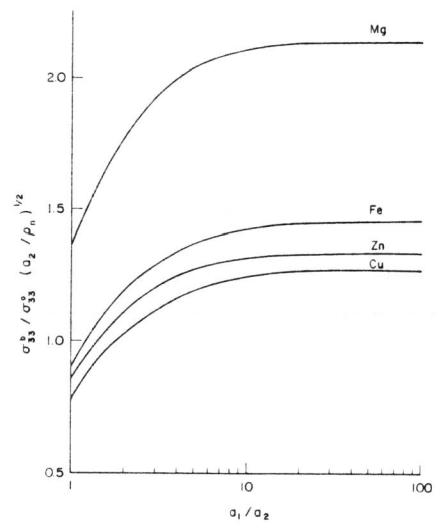


Figure 2 Tensile Stress on the Boundary of an Elliptical Crack at  $\beta = 90^\circ$  for Various Ratio of  $a_1/a_2$

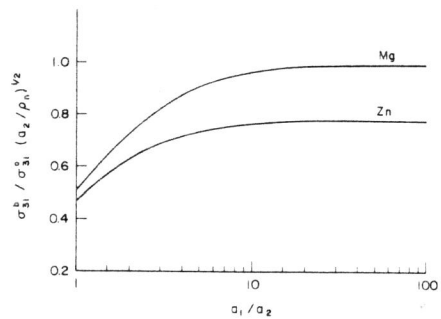


Figure 3 Shear Stress on the Boundary of an Elliptical Crack at  $\beta = 90^\circ$  for Various Ratio of  $a_1/a_2$