Crack initiation behavior of piezoelectric ceramics: electro-mechanical interaction

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ABSTRACT

Crack initiation behavior in piezoelectric ceramics is examined for different choices of boundary conditions. They are referred to as the fundamental boundary-value problems when electric field/stress and electric displacement/strain are specified. The mixed boundary-value problems involve specifying electric displacement/stress and electric field/strain. Crack-growth is assumed to occur when the volume energy density function that accounts for interaction of mechanical and electrical effects reaches a threshold depending on the piezoelectric ceramic material properties. The crack driving force is shown to increase monotonically for positive and negative applied electric displacement or electric field. Such a trend prevails for the fundamental boundary-value problems as it is to be expected on physical grounds. The applied electric field and displacement have little influence on the energy density solution for the mixed boundary conditions.

KEYWORDS

Anti-plane shear, Crack growth, Electric-mechanical coupling, Piezoelectric ceramics, Shear stress

1. INTRODUCTION

Piezoelectric ceramics can be made to have “pole” directions where the dipole moments are aligned. These directions need not coincide with those of material anisotropy. Their interactions could involve a rotation and/or reflection of axes depending on whether the deformation is of the in-plane or out-of-plane type. A special feature of electrical and mechanical interplay is that a piezoelectric material could produce an electric field when deformed and vice versa. This makes piezoelectric ceramics attractive for making electronic devices that may include transducers, sensors, etc. Their reliability in service, however, can often be short changed by premature cracking. To this end, much attention has been given to analyzing the state of affairs near a sharp crack and the condition under which an existing crack would start to propagate. Past works [1-5] have reported inconsistencies between analytical and experimental results, particularly those concerned with application of the classical energy release rate concept. More recent works [6-10] showed that the energy density criterion had more success for resolving several of the previously unexplained fracture phenomena.

Solutions based on linear piezoelectricity theory have shown that the stress, strain, electric displacement and electric fields possess the inverse square root of r singularity at a sharp crack tip. Here, r stands for the radial distance measured from the crack front. The ways with which the aforementioned four boundary conditions
affect crack initiation have been discussed and analyzed using different approaches. Widely applied in the literature [1-5] is the energy release rate criterion. It relies on the exchange of global energy with the increase of local crack surface area. When electro-mechanical coupling effects are present, it is not apparent whether all the energy would be converted to the creation of new crack surface. This is similar to elasto-plastic fracture where the plastic energy being part of the total energy does not contribute to the increase of crack surface. It is involved only in a passive manner to reduce a portion of the total energy that would have otherwise be present in crack surface extension. Such a distinction is not and cannot be made in the energy release rate treatment. Linear theory has often been blamed as the scape goat for inadequacies that are embedded in the failure/fracture criterion, not because of the lack of nonlinearity.

The volume energy density criterion [11,12] does not have the inherent constraint of applying a global energy quantity to determine local crack driving force unless all of the energy is converted to the increase of crack surface. It focuses attention on the failure of a local element. Crack extension is regarded as the loci of failed elements. The global energy is not involved locally although the correct stress-strain analysis has to be made for determining the local stress and strain fields.

2. TRACTION AND CHARGE FREE CRACK

The anti-plane shear crack model has been used extensively in fracture mechanics because of its simplicity in formulation. Referring to Fig. 1(a), a line crack of length 2a is centered in a large body that is assumed to extend to infinity in all direction x, y, and z.

![Fig. 1 Mode III crack line](image)

2.1 Boundary conditions
The body is sheared at infinity with mechanical stress $\tau_\infty$ or strain $\gamma_\infty$. Either electric displacement $D_\infty$ or electric field $E_\infty$ is applied in conjunction with the mechanical stress $\tau_\infty$ or strain $\gamma_\infty$. The four possible combinations are $(\tau_\infty;E_\infty)$, $(\gamma_\infty;D_\infty)$, $(\tau_\infty;D_\infty)$ and $(\gamma_\infty;E_\infty)$. They will be referred to, respectively, as Case I, II, III and IV. This is summarized in Table 1. The corresponding quantities $F_j$ and $G_j$ ($j = I, II, etc.$) are given by

$$F_I = \frac{\tau_\infty + e_{15}E_\infty}{c_{44}}, \quad F_{II} = \gamma_\infty, \quad F_{III} = \frac{\varepsilon_{11}\tau_\infty + e_{15}D_\infty}{c_{44} + \varepsilon_{11}^2 e_{15}^2}, \quad F_{IV} = \gamma_\infty$$

and

$$G_I = E_\infty, \quad G_{II} = \frac{D_\infty - e_{15}\gamma_\infty}{\varepsilon_{11}}, \quad G_{III} = \frac{c_{44}D_\infty - e_{15}\tau_\infty}{c_{44} + \varepsilon_{11}^2 e_{15}^2}, \quad G_{IV} = E_\infty$$
TABLE 3  
Classification of boundary conditions

<table>
<thead>
<tr>
<th>Cases</th>
<th>Specified quantities</th>
<th>Intensity factor coeff.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Fundamental problems</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>I</td>
<td>$\tau_\infty$ and $E_\infty$</td>
<td>$F_1$ ; $G_1$</td>
</tr>
<tr>
<td>II</td>
<td>$\gamma_\infty$ and $D_\infty$</td>
<td>$F_{II}$ ; $G_{II}$</td>
</tr>
<tr>
<td><strong>Mixed problems</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>III</td>
<td>$\tau_\infty$ and $D_\infty$</td>
<td>$F_{III}$ ; $G_{III}$</td>
</tr>
<tr>
<td>IV</td>
<td>$\gamma_\infty$ and $E_\infty$</td>
<td>$F_{IV}$ ; $G_{IV}$</td>
</tr>
</tbody>
</table>

Eqs. (1) and (2) are coefficients that define the stress, strain, electric field and displacement factors in the work to follow.

More specifically, the boundary conditions are

$$\sigma_{zy} = \tau_\infty \quad \text{or} \quad \gamma_{zy} = \gamma_\infty \quad \text{for} \quad x^2 + y^2 \to \infty$$

(3)

together with

$$E_y = E_\infty \quad \text{or} \quad D_y = D_\infty \quad \text{for} \quad x^2 + y^2 \to \infty$$

(4)

For a crack free of surface tractions and charge (i.e., an insulated crack), the conditions are

$$\sigma_{zy} = 0, \quad D_y = 0 \quad \text{for} \quad |x| < a; \quad |y| = 0$$

(5)

The pole is directed along the z-axis as shown in Fig. 1(b). Reversing the direction of $E_\infty$ and $D_\infty$ is equivalent to reversing the direction of poling.

### 2.2 Asymptotic solution

It can be solved for the two unknowns $u_z$ and $\phi$ from which the stresses, strains, electric field and displacements throughout the medium can be obtained. For the present discussion, it suffices to consider the asymptotic expressions [5]:

$$\sigma_{zx} = -\frac{K_{III}^\tau}{\sqrt{2\pi r}} \sin\left(\frac{\theta}{2}\right), \quad \sigma_{zy} = \frac{K_{III}^\gamma}{\sqrt{2\pi r}} \cos\left(\frac{\theta}{2}\right)$$

(6)

$$\gamma_{zx} = -\frac{K_{III}^\gamma}{\sqrt{2\pi r}} \sin\left(\frac{\theta}{2}\right), \quad \gamma_{zy} = \frac{K_{III}^\tau}{\sqrt{2\pi r}} \cos\left(\frac{\theta}{2}\right)$$

(7)

and

$$E_x = -\frac{K_E}{\sqrt{2\pi r}} \sin\left(\frac{\theta}{2}\right), \quad E_y = \frac{K_E}{\sqrt{2\pi r}} \cos\left(\frac{\theta}{2}\right)$$

(8)

$$D_x = -\frac{K_D}{\sqrt{2\pi r}} \sin\left(\frac{\theta}{2}\right), \quad D_y = \frac{K_D}{\sqrt{2\pi r}} \cos\left(\frac{\theta}{2}\right)$$

(9)

The stress and strain intensity factors in eqs. (6) and (7) are defined by

$$K_{III}^\tau = (c_{44}F_j - e_{15}G_j)\sqrt{\pi a}, \quad K_{III}^\gamma = F_j\sqrt{\pi a}$$

(10)

where $j = I, II, \text{etc.}$ The electric field and displacement factors in eqs. (8) and (9) are given by

$$K_E = G_j\sqrt{\pi a}, \quad K_D = (e_{15}F_j + e_{11}G_j)\sqrt{\pi a}$$

(11)

The electro-mechanical coupling effects are included by the factors in eqs. (10) and (11) via the constants $F_j$. 

and \( G_j \) given in eqs. (1) and (2). The local polar coordinates \((r, \theta)\) in eqs. (6) to (9) are measured from the crack tips; they are shown in Fig. 1(a). Note that all quantities possess the \( 1/\sqrt{r} \) singularity, a characteristic that is unaffected by piezoelectricity. In this case, the angular functions of the stresses and strains in eqs. (6) and (7) are also the same as those for purely elastic materials.

4. VOLUME ENERGY DENSITY APPROACH

According to the volume energy density criterion \([9,10]\), attention is focused on the failure of an element nearest to the crack tip as shown in Fig. 1(a). Linear piezoelectricity provides the expression:

\[
\frac{dW}{dV} = \frac{1}{2} \sigma_{ij} \gamma_{ij} + \frac{1}{2} D_{ij} E_j
\]

which simplifies considerably for anti-plane shear deformation:

\[
\frac{dW}{dV} = \frac{1}{2} (\sigma_{xz} \gamma_{xz} + \sigma_{yz} \gamma_{yz}) + \frac{1}{2} (D_{xx} E_x + D_{yy} E_y)
\]

Since the right hand side of eq. (13) are known from eqs. (6) to (9) inclusive, \( dW/dV \) can be computed with the aid of eqs. (1) and (2).

4.1 Crack initiation threshold

In view of the singular behavior of the quantities in eqs. (6) to (9), \( dW/dV \) is proportional to \( 1/r \) which tends to become unbounded as \( r \to 0 \). Unboundness of \( dW/dV \) is excluded from the solution by letting \( r \to r_o \) being the limit of \( r \). For small values of \( r \), the volume energy density can thus be written as

\[
\frac{dW}{dV} = \frac{S}{r}
\]

in which \( S \) is known as the energy density factor. It may be regarded as the crack driving force. For \( r = r_o \), it suffices to examine \( S \) for the condition of crack initiation. If only mechanical shear stress \( \tau_\infty \) is applied, then \( dW/dV \) can be computed simply as

\[
\frac{dW}{dV} = \left( \frac{a \tau_\infty^2}{4 c_{44}} \right) \frac{1}{r}
\]

which gives \( S = a \tau_\infty^2 / (4c_{44}) \). The onset of crack initiation would be assumed to coincide with \( S = S_c \), a critical value while \( \tau_\infty \) would correspond to the critical shear stress. For an isotropic elastic material \( c_{44} \) corresponds to the shear modulus of elasticity and \( S = a \tau_\infty^2 / (4G) \) \([13]\).

4.2 Boundary-value problems

Let \( p_\epsilon \) and \( q_\gamma \) stand for the ratios \( E_\infty / \epsilon_\infty \) and \( D_\infty / \gamma_\infty \), respectively. By means of eqs. (13) and (14), \( S \) can be calculated from the asymptotic expressions in eqs. (6) to (9). The results for Case I and II in Table 1 take the forms

\[
S = \frac{a}{4} \left[ \frac{\tau_\infty^2}{c_{44}} \left[ 1 + 2 \epsilon_{15} p_\epsilon + (c_{44} \in 11 + \epsilon_{15}^2) p_\epsilon^2 \right] \right], \quad \text{Case I} \tag{16}
\]

\[
S = \left[ \frac{\gamma_\infty^2}{c_{44}} \left[ (c_{44} \in 11 + \epsilon_{15}^2) - 2 \epsilon_{15} q_\gamma + q_\gamma^2 \right] \right], \quad \text{Case II} \tag{17}
\]

Similarly, let \( p_\gamma \) and \( q_\epsilon \) stand for the ratio \( E_\infty / \gamma_\infty \) and \( D_\infty / \epsilon_\infty \), respectively. In the same way, the S-factor expressions for Case III and IV are
The condition of a uniform electric field can be more readily simulated at the surface of the piezoelectric material in contrast to the electric displacement boundary condition. Frequently used in the laboratory is Case I in eq. (16).

4.3 PZT-5H piezoceramic

A glance of eqs. (16) to (19) reveals that only three constants $c_{44}$, $e_{15}$ and $\varepsilon_{11}$ are involved for the anti-shear problem. Their numerical values for the lead zirconate titanate (PZT-5H) piezoceramic can be found in Table 2 [14].

<table>
<thead>
<tr>
<th>Constants of PZT-5H piezoceramic [14]</th>
</tr>
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<tbody>
<tr>
<td>$c_{44}$ (N/m$^2$)</td>
</tr>
<tr>
<td>3.53$\times$10$^{10}$</td>
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</table>

Figure 2: Normalized energy density factor versus $E_x/\tau_x$. Figure 3: Normalized energy density factor versus $D_x/\gamma_x$.

Plotted in Fig. 2 are the variations of the normalized volume energy density factor $S_c/(a\tau_{xx}^2/4c_{44})$ with the load parameter $E_x/\tau_x$. In general, the curve rises as $p_\tau$ is increased positively or negatively; it possesses a minimum for negative $p_\tau$ very close to the origin. On physical grounds, the crack driving force represented by $S$ would increase with increasing applied electric field. Similar results are obtained for $S_c/(a\gamma_{xx}^2/4\varepsilon_{44})$ versus $D_x/\gamma_x$ as shown in Fig. 3 where the minimum corresponds to positive $q_\tau$ near the origin. As it is to be expected, $S$ increases for positive and negative applied electric displacements. The trends of the curves in Figs. 2 and 3 are opposite to those found in [5] by using the energy release rate criterion where the crack driving forces decrease and become negative when the applied electric field and displacement are increased. This is contrary to experimental observations.

Refering to the material parameters in Table 2, it can be seen that $\varepsilon_{11}$ is several orders of magnitude smaller than $c_{44}$ in eq. (27) for Case III. Hence, $S$ would remain nearly constant and not affected by the ratio $E_x/\gamma_x$. Case IV in eq. (19) yields a symmetric curve about $q_\tau = 0$ for the $S$ versus $q_\tau$ plot. The mixed conditions in eqs. (18) and (19) are only of academic interest since they are difficult to produce in the
5. CONCLUSIONS

Anti-plane shear crack initiation behavior for piezoceramics is investigated to study the electro-mechanical interaction effects. Applied is the volume energy density criterion for analyzing how different boundary conditions would affect the crack driving force which remains positive definite under all conditions. This is a necessary requirement that must be satisfied on physical grounds. Numerical results are presented graphically for the PZT-5H piezoelectric material. These conclusions are contrary to those obtained from the energy release rate criterion [5] for the same problem. The crack energy release rate becomes negative as the electric field or displacement is increased, a condition that seems to contradict rational reasoning. In other words, it is inconceivable that a crack would arrest if the applied electric and/or mechanical load is increased. These unphysical predictions invalidate the usefulness of the energy release rate. Such contradictions do not arise when the volume energy density criterion is used.

REFERENCES